

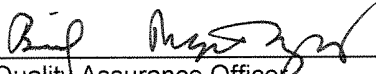




**NYE COUNTY NUCLEAR WASTE
REPOSITORY PROJECT OFFICE**

QUALITY ADMINISTRATIVE PROCEDURE

TITLE: Preparation of Work Plans, Test Plans, and Technical Procedures		REVISION: 2 DATE: 3-31-04 PAGE: 1 of 12
PROCEDURE NUMBER: QAP-5.2	SUPERSEDES: Revision 1, 1-10-01	
APPROVAL  Project Manager 4-5-04 Date	CONCURRENCE  3/31/04 On-Site Geotechnical Representative Date  3/28/04 Quality Assurance Officer Date	

1.0 PURPOSE

This quality administrative procedure (QAP) describes Nye County Nuclear Waste Repository Project Office (NWRPO) requirements and responsibilities for the preparation, review, and approval of work plans (WPs), test plans (TPNs), and technical procedures (TPs). These plans and procedures, together with QAPs and the QA Program Plan (QAPP) provide the basis for the NWRPO QA Program.

2.0 APPLICABILITY

This QAP applies to the preparation of all WPs, TPNs, and TPs used in conducting NWRPO technical investigations.

3.0 **DEFINITIONS**

- 3.1** *Change Notice (CN)*—a change to a QA document that is minimal and requires only the replacement of selected pages.
- 3.2** *Quality administrative procedure*—a procedure developed to implement the QA requirements described in the QAPP.
- 3.3** *Quality Assurance Program Manual*—the controlled document consisting of the NWRPO QAPP and QAPs.
- 3.4** *Quality Assurance Program Plan*—the controlled plan that outlines the NWRPO QA requirements, which are based principally on the applicable portions of the requirements set forth by the U.S. Nuclear Regulatory Commission and the American National Standards Institute for nuclear power plants, as adapted for a nuclear waste repository.
- 3.5** *Quality Assurance Technical Program Manual*—the controlled document consisting of NWRPO WPs, TPNs, and TPs.
- 3.6** *Quality Assurance Technical Program Manual Index*—the table of contents of the QA Technical Program Manual listing current WPs, TPNs, TPs, and CNs; including, where applicable, number, title, revision number, and date issued.
- 3.7** *Technical procedure*—generic step-by-step instructions that apply to a NWRPO technical activity. When appropriate, external TPs prepared by an outside organization for similar work may be used for NWRPO technical activities, but such TPs shall undergo the review and approval process described in this QAP before being issued.
- 3.8** *Test plan*—A detailed description of a specific test or activity conducted in support of a corresponding NWRPO WP, or a one-of-a-kind technical activity that does not warrant a WP. The TPN specifies test parameters and instructions, including step-by-step instructions for field measurements, sample collection, and/or laboratory analyses.
- 3.9** *Work plan*—A detailed description of the planned work for a specific NWRPO technical investigation, including the purpose of the work, background information, scope, and how the work shall be managed (e.g., personnel responsibilities and training requirements). WPs shall be supported by the appropriate QAP, TPN, TP, or other document that specifies relevant requirements, criteria, or procedures.

4.0 **RESPONSIBILITIES**

The requirements described in this QAP apply to all NWRPO personnel who prepare, review, or implement WPs, TPNs, and TPs. The QA Officer (QAO), On-Site Geotechnical Representative (OSGR), and Principal Investigator (PI) are the key NWRPO personnel responsible for fulfilling these requirements.

When an activity cannot be accomplished as described in a WP, TPN, or TP and could result in an unsafe or undesirable condition, the activity shall be stopped and the affected

plan(s) and/or procedure(s) corrected. Any NWRPO individual involved in quality-affecting technical activities may identify the need for a revision of an existing plan or procedure or the preparation of a new one. However, a written request must be submitted to the OSGR and QAO for review and approval before initiating the revision or preparing a new document.

Plans and procedures controlling the quality-affecting activities of the NWRPO technical program shall be developed and approved with a sufficient level of detail to enable the activities to be carried out in a technically defensible and timely manner. The documents shall be as brief as possible, with well-defined steps to facilitate implementation. If appropriate, flow diagrams and checklists shall be included for ease of implementation.

4.1 Project Manager

The Project Manager (PM) or designee is responsible for approving WPs, TPNs, and TPs.

4.2 Quality Assurance Officer

The QAO is responsible for approving technical reviewers, performing a QA review of any new or revised WP, TPN, or TP and approving these documents, as well as any subsequent CN, and submitting the technical review records package to the QA records center (QARC). The QAO shall issue the final WPs, TPNs, or TPs and verify the training of personnel required to implement them. The QAO shall ensure that appropriate QA plans and procedures for technical activities are prepared before such activities take place, and for verifying compliance of relevant WPs, TPNs, and TPs through surveillances and/or audits.

4.3 On-Site Geotechnical Representative

The OSGR is responsible for assigning the PI to prepare a necessary WP, TPN, or TP. The OSGR is also responsible for participating in an independent technical review of any new or revised WP, TPN, or TP and approving these documents, as well as any subsequent CN(s).

4.4 Principal Investigator

The PI is responsible for ensuring that document preparation is completed in a timely manner in accordance with the requirements of this QAP. The PI shall ensure that the document is grammatically edited and formatted in accordance with NWRPO guidelines before a formal independent technical review is initiated as specified in QAP-3.1, *Independent Technical Review*. After the technical review, the PI shall ensure that document revisions incorporate the comment resolutions.

5.0 PROCESS

WPs shall be prepared for each major NWRPO investigation that involves gathering and/or using technical data. The WP shall identify the tasks necessary to achieve the overall purpose of the investigation and describe the sequence of activities to be

accomplished in the field, laboratory, and/or project offices. TPNs shall be prepared when detailed instructions are needed to carry out test activities required by the WPs or in cases where WPs are not applicable. Appropriate TPs shall be written to support the generic (i.e., commonly repeated) activities in the WPs and TPNs and shall be in place before work is begun.

5.1 Title Block

Each WP, TPN, and TP shall include a title block, as shown on Attachment 1, with information that includes the following:

- A descriptive and informative title.
- A unique document number.
- The revision number. Revisions shall be issued after the third CN or when a single change is significant enough to require a revised WP, TPN, or TP. The original issue shall be designated Revision 0.
- The issue date.
- The number of the previous version, if any, that the present document supersedes, and the issue date of the previous version.
- Signature blocks for concurrence and approval.

5.2 Format

5.2.1 Work Plan

WPs shall use the standard format shown on Attachment 2, as well as a table of contents. If any of the headings are not applicable to a particular WP, that section shall contain the words “not applicable.”

5.2.2 Test Plan

TPNs shall describe, at a minimum, the purpose and scope of the test activity, specific data-collection procedures, and the data to be collected. TPNs shall also include data processing instructions and the testing schedule, as appropriate. The content of the TPN may be supplemented by referencing relevant WPs, TPs, and/or other technical documents. Data collected for a TPN shall be accurately recorded on a controlled scientific form and/or notebook, in accordance with QAP-3.2, *Documentation of Technical Investigations*.

5.2.3 Technical Procedures

TPs shall include the following sections:

- 1.0 Purpose
- 2.0 Scope
- 3.0 Definitions

- 4.0 Responsibilities
- 5.0 Process
- 6.0 Data Collection Limitations
- 7.0 References
- 8.0 Records
- 9.0 Attachments

If a heading is not applicable to a particular TP, the section shall contain the words “not applicable.” TPs shall also address the following elements, as applicable:

- Test equipment.
- Personnel requirements.
- Special environmental conditions required for tests and/or sample storage.
- Hold points.
- Acceptable precision and accuracy levels.

5.3 Technical Review

All WPs, TPNs and TPs shall be subject to an internal technical review as described in QAP-3.1.

5.4 Approval

The final version of each WP, TPN, or TP shall be signed by the PI, OSGR, and QAO. The signed document shall be submitted to the Project Manager or designee for approval. The new or revised document shall be issued by the QAO as specified in QAP-6.1, *Issue and Control of Quality Assurance Documents*.

5.5 Revisions

Revision requests shall be submitted to the OSGR and QAO. The OSGR shall review the request and, if appropriate, approve it, with QAO concurrence, and assign the work to the appropriate PI.

Review and approval of document revisions shall be conducted in accordance with Sections 5.3 and 5.4 of this QAP. When a WP, TPN, or TP is revised, the entire document shall be reissued with each page identified by the new revision number.

5.6 Change Notice

A CN shall be issued when changes to a WP, TPN, or TP are not extensive enough to warrant a revision (i.e., less than one or two paragraphs) (Attachments 3 through 5). A Change Notice may result from an undesirable or unsafe condition identified by NWRPO technical personnel; QA nonconformance, audit finding, or required corrective actions; and/or the need for an immediate correction of a deficiency until a revision of the document can be prepared.

The review and approval requirements specified in Sections 5.3 and 5.4 of this QAP are not required for CNs. The OSGR, PI, and QAO shall concur on the CN.

Changes that are more extensive than one or two paragraphs require a revision. The maximum number of CNs that may be issued for a specific revision is three; if a fourth CN is required, the document shall be revised, as specified in this QAP, incorporating the changes issued as CNs.

CNs shall be controlled according to requirements in QAP-6.1.

6.0 RECORDS

Documents generated by this QAP are QA records and shall be submitted to the QARC by the responsible individual in accordance with QAP-17.1, *Records Management*.

In addition, a copy of any document mentioned in the references of a WP, TPN, or TP that is not readily available in a technical library or on the Internet shall be submitted to the QARC. All WPs, TPNs and TPs that are no longer in use shall be archived in the QARC.

The records generated by this QAP include the following:

- The final approved WP, TPN, or TP.
- Other review package documents as specified in QAP-3.1.
- An updated Technical Program Manual Index.
- Applicable CNs.

7.0 REFERENCES

QAP-3.1, *Independent Technical Review*.

QAP-3.2, *Documentation of Technical Investigations*.

QAP-6.1, *Issue and Control of Quality Assurance Documents*.

QAP-17.1, *Records Management*.

QAPP, *Nye County Nuclear Waste Repository Project Office Quality Assurance Program Plan*.

8.0 ATTACHMENTS

Attachment 1 Work Plan, Test Plan, and Technical Procedure Title Blocks


Attachment 2 Standard Work Plan Format


Attachment 3 Work Plan Change Notice


Attachment 4 Test Plan Change Notice

Attachment 5 Technical Procedure Change Notice

Attachment 1 Title Blocks for Work Plan, Test Plan, and Technical Procedure

 <p>NYE COUNTY NUCLEAR WASTE REPOSITORY PROJECT OFFICE</p>	
WORK PLAN	
TITLE:	REVISION:
	DATE:
	PAGE: 1 of
WORK PLAN NUMBER:	SUPERSEDES:
APPROVAL	CONCURRENCE
Project Manager _____ Date	On-Site Geotechnical Representative _____ Date
	Principal Investigator _____ Date
	Quality Assurance Officer _____ Date

 <p>NYE COUNTY NUCLEAR WASTE REPOSITORY PROJECT OFFICE</p>	
TEST PLAN	
TITLE:	REVISION:
	DATE:
	PAGE: 1 of 1
TEST PLAN NUMBER:	SUPERSEDES:
APPROVAL	CONCURRENCE
Project Manager _____ Date	On-Site Geotechnical Representative _____ Date
	Principal Investigator _____ Date
	Quality Assurance Officer _____ Date

 <p>NYE COUNTY NUCLEAR WASTE REPOSITORY PROJECT OFFICE</p>	
TECHNICAL PROCEDURE	
TITLE:	REVISION:
	DATE:
	PAGE: 1 of
TECHNICAL PROCEDURE NUMBER:	SUPERSEDES:
APPROVAL	CONCURRENCE
Project Manager _____ Date	On-Site Geotechnical Representative _____ Date
	Principal Investigator _____ Date
	Quality Assurance Officer _____ Date

Attachment 2 Standard Work Plan Format

1.0 INTRODUCTION

This section references the applicable NWRPO plan or procedure (e.g., QAP-5.2) and describes the following:

- The technical activities addressed in the work plan (WP).
- The WP in the broader perspective of the Nuclear Waste Repository Project Office (NWRPO) technical program (i.e., the significance of the planned work to the overall scope of the technical program).
- Relationship of the document to other relevant NWRPO QA plans and procedures.
- How the plan is organized.

Other relevant information not included in any of the following sections is also included in this section.

2.0 PURPOSE

This section explains why the WP is necessary and lists its objectives.

3.0 BACKGROUND

This section summarizes, by referencing details to the source documents where possible, what is already known and how it was established, and explains why items identified in the scope of work were determined by the NWRPO to be necessary.

4.0 SCOPE OF WORK

This section identifies the planned work or defines the types of tests to be conducted, and specifies who has responsibility for each activity, including the following, if applicable:

- Types of investigations planned (e.g., pump tests, groundwater flow modeling, or tracer testing).
- The investigation extent or scale.
- Locations where the investigations are to be conducted.
- Investigators and their responsibilities.
- Equipment and related calibration requirements.
- Number and types of samples to be collected, along with borehole information, specimen depth intervals, and lists of parameters to be analyzed.
- References to the plans and procedures necessary to support the WP.

- As necessary, appropriate qualitative (e.g., field test and laboratory analytical conditions needed to achieve the work objectives) and quantitative (e.g., dimensions, tolerances, or operating limits) acceptance criteria; the technical expertise required; site or laboratory prerequisites; and health and safety precautions.

5.0 MANAGEMENT

This section describes the organization and management structure that will ensure that the work is accomplished in accordance with the project's scope, objectives, and quality assurance requirements. It describes training needs and compliance verification responsibilities.

6.0 REFERENCES

This section presents complete references in standard NWRPO format to all documents cited in the WP.

Attachment 3 Work Plan Change Notice

Form QAP-5.2-1 Rev 0
3-31-04



NYE COUNTY NUCLEAR WASTE REPOSITORY PROJECT OFFICE

WORK PLAN

TITLE:	REVISION:
	DATE:
	PAGE:
WORK PLAN NUMBER:	SUPERSEDES:

CHANGE NOTICE NO.

EFFECTIVE DATE:

PURPOSE:

CONCURRENCE:

On-Site Geotechnical Representative

Principal Investigator

Quality Assurance Officer

Attachment 4 Test Plan Change Notice

Form QAP-5.2-2 Rev 0
3-31-04



NYE COUNTY NUCLEAR WASTE REPOSITORY PROJECT OFFICE

TEST PLAN

TITLE:	REVISION:
	DATE:
	PAGE: 1 of
WORK PLAN NUMB.	SUPERSEDES:

CHANGE NOTICE NO.

EFFECTIVE DATE:

PURPOSE:

CONCURRENCE:

On-Site Geotechnical Representative

Principal Investigator

Quality Assurance Officer

Attachment 5 Technical Procedure Change Notice

Form QAP-5.2-3 Rev 0
3-31-04



NYE COUNTY NUCLEAR WASTE REPOSITORY PROJECT OFFICE

TECHNICAL PROCEDURE

TITLE:	REVISION: DATE: Page: 1 of
TECHNICAL PROCEDURE NUMBER:	SUPERSEDES:

CHANGE NOTICE NO.

EFFECTIVE DATE:

PURPOSE:

CONCURRENCE:

On-Site Geotechnical Representative

Principal Investigator

Quality Assurance Officer